

**UNITED STATES PATENT APPLICATION TRANSMITTAL FORM**

**BOX PATENT APPLICATION**  
**ASSISTANT COMMISSIONER FOR PATENTS**  
**Washington, D.C. 20231**

Docket No.: 682.0021USU

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Anthony D. Gonzalez, Andrew H. Pechko, Robert E. Kalafsky

For: **AEROSOL INSECT REPELLENT COMPOSITION HAVING LOW  
 VOC CONTENT AND METHOD OF APPLYING SAME TO THE  
 SKIN**

Enclosed are:

**XXX** Specification (21 pps.) consisting of: Description (15 pps); Claims (5 pps);  
 Abstract (1 pp);

\_\_\_\_\_ 0 sheets of drawings;

\_\_\_\_\_ Declaration and Power of Attorney;

\_\_\_\_\_ Associate Power of Attorney;

\_\_\_\_\_ An assignment of the invention to: **Avon Products, Inc.** Including  
 \$40.00 recordation fee and Assignment Recordation Form Cover Sheet;

\_\_\_\_\_ Information Disclosure Statement (with copies of patent);

\_\_\_\_\_ Form - PTO-1449;

\_\_\_\_\_ Verified Statement Claiming Small Entity Status; and

\_\_\_\_\_ Priority of U.S. Patent Application Serial No. \_\_\_\_\_, filed on  
 is claimed under 35 U.S.C. §120.

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$710.00
Total Claims	24 - 20 =	4	x \$18.00	\$72.00
Independent Claims	1 - 3 =	0	x \$80.00	\$0.00
Multiple Dependent Claim Fee			x \$270.00 = \$0.00	
<b>TOTAL FILING FEE</b>			<b>\$782.00</b>	

1/2 FILING FEE FOR SMALL ENTITY	\$N/A
---------------------------------	-------

11/28/00  
 JC620 U.S. PTO

JC675 U.S. PTO  
 09/724313  
 11/28/00

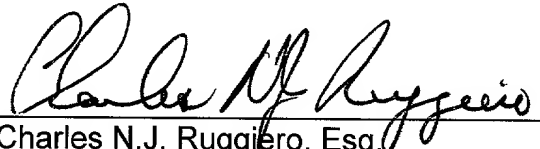
XXX No fee enclosed – filing by missing parts.

\_\_\_\_\_ A check in the amount of \$ \_\_\_\_\_ for the filing fee (\$0.00).

\_\_\_\_\_ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

Address all future communications to: **Charles N.J. Ruggiero, Esq.**  
**Ohlandt , Greeley, Ruggiero & Perle, L.L.P.**  
**One Landmark Square, 10th Floor**  
**Stamford, Connecticut 06901-2682**  
**U.S.A.**  
**Telephone: (203) 327-4500**  
**Telefax: (203) 327-6401**


November 28, 2000  
Date of Signature

  
\_\_\_\_\_  
Charles N.J. Ruggiero, Esq.  
Attorney for Applicant(s)  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
Registration No. 28,468  
(203) 327-4500

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EL587709029US**, service under 37 CFR §1.10 and is addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on November 28, 2000.

Patricia Yepez-Rutheny  
(Typed name of person mailing paper)

  
\_\_\_\_\_  
(Signature of person mailing paper)

**AEROSOL INSECT REPELLENT COMPOSITION HAVING  
LOW VOC CONTENT AND METHOD OF APPLYING SAME TO THE SKIN**

**BACKGROUND OF THE INVENTION**

5

1. Field of the Invention

The present invention relates to an aerosol insect repellent composition. More particularly, the present invention relates to such a composition having a low level of volatile organic compounds.

2. Description of the Prior Art

Insect repellent compositions are available commercially in aerosol form. Consumers find aerosol compositions easy to administer to both the skin and to clothing. Further, aerosol compositions help consumers avoid the inconvenience of having to apply and spread the insect repellent with the hands.

20

An environmental concern with prior art aerosol insect repellent compositions is the high level of volatile organic compound (VOC) components present. Commercially available aerosol compositions typically range in VOC content from about 60 percentage by weight (wt.%) to about 90 wt.%. VOC components

commonly employed in aerosol compositions include low molecular weight hydrocarbons and alcohols. VOC components may also serve as solvents and/or propellants in aerosolized insect repellent compositions. Due to increased environmental scrutiny of VOC components, it would be a desirable achievement to effectively reduce the VOC content in aerosol compositions and, particularly, topically applied insect repellent compositions, without deleteriously affecting the efficacy or dispensability of such compositions.

To reduce the VOC content in an aerosol insect repellent composition is difficult due to formulation considerations. Frequently, reducing the VOC content requires introducing or increasing the content of water in the aerosol composition. Most insect repellents or actives are insoluble in water. Thus, the actives may become more difficult to disperse, solubilize and/or stabilize. Further, the increased water content may increase the risk of corrosion of metal (e.g., aluminum and tin) dispensing canisters.

#### **SUMMARY OF THE INVENTION**

It is an object of the present invention to provide an aerosol insect repellent composition having a low VOC content.

It is another object of the present invention to provide such an aerosol insect repellent composition that minimizes the corrosion of metal dispensing containers that may occur when VOC components are replaced.

It is a further object of the present invention to provide such an aerosol insect repellent composition that does not interfere with consistency of spray delivery.

These and other objects and advantages of the present invention are achieved by an aerosol insect repellent having an amount of an insect repellent active effective to repel insects when applied to the skin and a cosmetically-acceptable vehicle in which to disperse and deliver the insect repellent active. The vehicle has (i) a VOC component, which may be capable of volatilizing upon exposure to a reduction in pressure to deliver the composition in an aerosol form, and (ii) a non-VOC component. The aerosol composition has a VOC content no greater than about 55 wt.% based on the total weight of the composition.

The present invention also provides an environmentally preferred method of repelling insects from the skin. The method includes applying the above aerosol composition to the skin.

**DETAILED DESCRIPTION OF THE INVENTION**

In the present invention, it was unexpectedly and  
5 surprisingly found that an aerosol insect repellent composition  
could be formulated having a low VOC content. VOC content is  
defined herein as the percent by weight of the VOC component in  
the composition. A VOC is any compound of carbon, excluding  
carbon monoxide, carbon dioxide, metallic carbides or  
carbonates, and ammonium carbonate, which participates in  
atmospheric photochemical reactions. This definition includes  
any such organic compound other than those that have been  
determined to have negligible photochemical reactivity as listed  
in 40 CFR 51.100(s), which is incorporated herein by reference.

15 The aerosol composition can be formulated to neither cause  
significant corrosion of metal dispensing containers or  
canisters nor interfere with consistency of spray delivery. The  
aerosol composition can also be formulated to impart a desirable  
20 feel and appearance to the skin.

In accordance with the present invention, the VOC content  
of a conventional aerosol composition can be regulated by  
partially substituting one or more non-volatile organic compound

(non-VOC) components for the VOC component. In particular, the non-VOC component can partially or completely substitute for ethanol or other alcohols that are VOC solvents and commonly used in aerosolized insect repellent compositions. Further, the addition of non-VOC components surprisingly permits a reduction in the amount of VOC propellants, such as hydrocarbons that are commonly employed in aerosol compositions.

The aerosol composition of the present invention has a VOC content about 1 wt.% to about 55 wt.% based on the total weight of the composition. Preferably, the composition has a VOC content about 1 wt.% to about 45 wt.%, and most preferably about 1 wt.% to about 35 wt.%, based on the total weight of the composition.

The present invention has one or more non-VOC components. The non-VOC components useful in the present aerosol composition include those that are compatible and miscible with the insect repellent active and the propellant.

The non-VOC component is preferably in liquid or fluid form. The non-VOC components have a vapor pressure less than or equal to about 0.1 mHg at 20°C, or have 12 or more carbon atoms. The non-VOC components that can be used in the present

composition include, but are not limited to, polyols, such as butylene glycol, ethylene glycol, propylene glycol and hexylene glycol; vegetable oils; esters such as octyl palmitate, isopropyl myristate, and isopropyl palmitate; non-volatile  
5 ethers such as dicapryl ether and dimethyl isosorbide; fatty alcohols such a cetyl alcohol, stearyl alcohol, and behenyl alcohol; silicone oils such as dimethicones and polysiloxanes; and non-volatile hydrocarbon oils such as mineral oil, petrolatum, isoeicosane and polyisobutene.

The non-VOC components are present in the aerosol composition at up to about 50 wt.% based on the total weight of the composition. Preferably, the non-VOC components are present in the aerosol composition at about 5 wt.% to about 30 wt.%  
15 based on the total weight of the composition.

In a preferred embodiment, the non-VOC component may also comprise water. The use of water is desired to partially replace VOC components in the composition, but has been  
20 commercially impractical because of the corrosive effect of water on the aerosol canister. The present aerosol composition has achieved a proper balance. Thus, the present composition may have water in an amount up to about 50 wt.%, preferably about 5 wt.% to about 40 wt.%, and most preferably about 10 wt.%



to about 30 wt.%, based on the total weight of the composition. If there is water and/or a low molecular weight alcohol in the composition, any additional ingredients included in the non-VOC component shall likewise be compatible therewith.

5

The insect repellent or active employed in the present composition may be one or more insect repellents known in the art. Typically, the insect repellent or active will be an oil-soluble compound that dissolves in the oil phase of the aerosol composition. Such insect repellents or actives include, but are not limited to, N,N diethyl-m-toluamide (DEET), ethyl butylacetylaminopropionate (IR3535 by Merck Co.), hydroxyethyl isobutyl piperidine carboxylate (1-piperidinecarboxylic acid) (Bayer KBR 3023), oil of citronella, soy bean oil, lemon grass oil, geranium/geraniol oil, and p-menthane-3,8-diol. Other useful actives are disclosed in U.S. Patent Nos. 5,130,136 and 5,698,209, which are incorporated herein by reference. The preferred insect repellent actives are DEET, IR3535, Bayer KBR 3023, p-menthane-3,8-diol, and oil of citronella.

20

The insect repellent is present in an amount effective to repel insects. The insect repellent is preferably present at up to about 50 wt.%, and more preferably about 0.5 wt.% to about 45 wt.% based on the total weight of the composition.

The VOC component of the present aerosol composition may comprise a volatile propellant. Suitable propellants are those that can be compressed or liquefied within a dispensing spray canister, yet expand or volatilize to vapor or gas form upon exposure to ambient temperature and pressure conditions to deliver the composition in an aerosol form. Suitable propellants include hydrocarbons having 1 to 5 carbon atoms. Representative propellants include, but are not limited to, methane, ethane, propane, isopropane, butane, isobutane, butene, pentane, isopentane, neopentane, pentene, hydrofluorocarbons (HFC), chlorofluorocarbons (CFC), dimethyl ether, and mixtures of the foregoing. Preferred propellants include propane, isopropane, isobutane, and butane. In addition to functioning as a propellant, volatile hydrocarbons may act as solvents and/or solubilizers of the insect repellent active.

The propellant concentration is up to about 30 wt.%, preferably about 1 wt.% to about 25 wt.%, and more preferably about 5 wt% to about 20 wt.%, based on the total weight of the aerosol composition.

The present aerosol composition optionally has one or more VOC low molecular weight alcohols or diols each having from 1 to

8 carbon atoms. Such alcohols assist in the solubilization and dispersion of the insect repellent active and the compatibilization of water in the aerosol composition. The alcohols also assist in maintaining the solubilization and/or dispersion of the insect repellent active as the propellant is progressively expended from the dispensing canister through spraying.

Such suitable alcohols include, but are not limited to, methanol, ethanol, propanol, isopropanol, butanol, isobutanol, pentanol, hexanol, heptanol, octanol, and mixtures thereof. Ethanol is preferred due to its low cost and ability to solubilize efficiently most insect repellents actives.

If present, the alcohol is up to about 45 wt.%, preferably about 1 wt.% to about 40 wt.%, and more preferably about 5 wt.% to about 30 wt.%, of the total weight of the aerosol composition.

A preferred aerosol composition has about 0.5 wt.% to about 45 wt.% of the insect repellent active, about 5 wt.% to about 20 wt.% of the propellant, about 5 wt.% to about 30 wt.% of the alcohol, about 5 wt.% to about 40 wt.% water, and about 5 wt.%

to about 30 wt.% of a non-VOC component other than water, based on the total weight of the composition.

Optionally, the present aerosol composition may have a  
5 sunscreen. Such sunscreens or sunscreen actives include those for UVA and UVB protection (290 to 400 nanometer solar radiation). The sunscreen may be any organic or inorganic compound known in the art. These compounds include, but are not limited to, oxybenzone, sulisobenzene, dioxybenzone, menthyl antranilate, para aminobenzoic acid (PABA), octyl methoxycinnamate, octocrylene, drometrizole trisiloxane, octyl salicylate, homomenthyl salicylate, octyl dimethyl PABA, TEA salicylate, titanium dioxide, zinc oxide, butylmethoxy dibenzoylmethane, 4-methyl benzilidene camphor, octyl triazone,  
15 terephthalylidene dicamphor sulfonic acid, ethyl PABA, hydroxy methylphenyl benzotriazole, methylene bis-benzotriazoyltetramethylbutylphenol, bis-ethylhexyloxyphenol methoxyphenol triazine, and mixtures of the foregoing. Other useful sunscreen actives include those disclosed in U.S. Patent  
20 No. 5,000,937, which is incorporated herein.

If present, sunscreens will be in an amount from about 1 wt.% to about 35 wt.%, based on the total weight of the composition.

Also optionally, the present aerosol composition may have a film former. The film former will improve water, sweat and wear resistance. The film former leaves a film residue on the surface of the skin either immediately or upon evaporation of volatiles in the composition. The film former can also enhance the spread characteristics of the composition, which allows the composition to be more uniformly and consistently applied to the skin. The film former can also help maintain the insect repellent at the surface of the skin for a longer period of time than it would otherwise remain without the film former. The film former can also afford sustained release of the insect repellent.

The amount of film former employed will vary depending on desired film residue thickness and chemical properties. However, the typical amount of film former in the present composition ranges from about 0.1 wt.% to about 20 wt.% based on the total weight of the composition.

The film former may be water-soluble, oil-soluble or both. The film-formers that can be used in the present invention include waxes, such as beeswax (synthetic or natural) and botanical waxes; acrylate copolymers such as

acrylate/octylacrylamide copolymers and acrylate/vinyl acetate copolymers; cellulosic polymers such as methyl cellulose and hydroxyethyl cellulose; ethylene/acrylic acid copolymer; polyacrylic acid; C<sub>1</sub> to C<sub>5</sub> alkyl galactomannan;

5 isododecane/ethylene mixed copolymer; adipic acid/diethylene glycol/glycerin crosspolymer; trimethylpentanediol/adipic acid copolymer; trimethylpentanediol/adipic acid/isononanoic acid; PVP/hexadecene copolymer (e.g., Ganex V-216); PVP/eicosene copolymer (e.g., Ganex V-220); alpha olefin/isopropyl maleate/MA

10 polymer; cycloalkyl methacrylate copolymer/isododecane; trimethyl polysiloxane octadecene/MA copolymer; PPG-12/SMDI copolymer; acrylates C<sub>10</sub> to C<sub>30</sub> alkyl acrylate crosspolymer; cetyl hydroxyethylcellulose; dimethiconol; dimethicone;

15 diglycol/cyclohexane-dimethanol/isophthalates/sulfoisophthalate copolymer; polyethylene; and polyurethane resins. The polyurethane resins include Polyurethane-1, Polyurethane-2, Polyurethane-4, Polyurethane-5, and mixtures thereof. These polyurethane resins are described in the International Cosmetic Ingredient Dictionary and Handbook, 8<sup>th</sup> edition, Printed Edition

20 Pages 1152-1153, which is incorporated herein by reference. Additional film formers include those set forth in U.S. Patent No. 5,916,541, which is incorporated herein by reference.

Further optionally, the present composition may have one or more of the following additional ingredients: anesthetics, anti-allergenics, antibacterials, antifungals, anti-inflammatories, antiseptics, chelating agents, colorants, depigmenting agents, emulsifiers, emollients, exfollients, fragrances, humectants, lubricants, moisturizers, pharmaceutical agents, preservatives, skin penetration enhancers, skin protectants, stabilizers, surfactants, thickeners, viscosity modifiers, and vitamins.

Depending upon the formulation, the aerosol composition may take the form of a solution, a suspension or an emulsion.

Emulsions may be either oil-in-water or water-in-oil.

Regardless of form, the composition must be thin enough at the time of dispensing or of sufficiently low viscosity to be sprayed from an aerosol dispensing canister. Typically, the composition is forced through a small orifice due to a pressure differential between the interior of the canister and the ambient environment.

#### **EXAMPLE 1**

<u>Component</u>	<u>wt. %</u>
Ethanol	45
Propane	10
Ethyl butylacetylaminopropionate	20
Hexylene glycol	10
Water	15

**EXAMPLE 2**

<u>Component</u>	<u>wt. %</u>
Ethanol	20
Propane	6
Isopropane	4
p-Methane diol	20
Buylene glycol	50

**EXAMPLE 3**

<u>Component</u>	<u>wt. %</u>
N,N Diethyltoluamide (DEET)	50
Isopropyl myristate	10
Octyldodecanol	5
Dimethyl ether	5
Isopropanol	30

**EXAMPLE 4**

<u>Component</u>	<u>wt. %</u>
Geraniol	5
Oil of Citronella	10
Propylene glycol	25
PPG-12/SMDI copolymer	5
Isododecane	20
Dioctyl ether	30
Butane	5

**EXAMPLE 5**

<u>Component</u>	<u>wt. %</u>
Ethanol	20
Propane	6
Isopropane	4
p-Menthane diol	20
Soybean oil	5
Isopropyl palmitate	25
Water	20

It should be understood that the foregoing description is  
 10 only illustrative of the present invention. Various  
 alternatives and modifications can be devised by those skilled



in the art without departing from the invention. Accordingly, the present invention is intended to embrace all such alternatives, modifications and variances that fall within the scope of the appended claims.

**WHAT IS CLAIMED IS**

1. An aerosol insect repellent composition, comprising:

5 an insect repellent in an amount effective to repel insects  
when applied to the skin; and

a cosmetically-acceptable aerosol vehicle to disperse and  
deliver the insect repellent active, the vehicle having

(i) a VOC component; and

(ii) a non-VOC component,

15 wherein the VOC component is not greater than about 55 wt.%  
based on the total weight of the composition.

2. The composition of claim 1, wherein the VOC component  
is about 1 wt.% to about about 45 wt.% based on the total weight  
20 of the composition.

3. The composition of claim 1, wherein the VOC component  
is about 1 wt.% to about 35 wt.% based on the total weight of  
the composition.

4. The composition of claim 1, wherein the insect repellent is present at up to about 50 wt.% based on the total weight of the composition.

5

5. The composition of claim 4, wherein the insect repellent is present at about 0.5 wt.% to about 45 wt.% based on the total weight of the composition.

10

6. The composition of claim 1, wherein the non-VOC component is present at up to about 50 wt.% based on the total weight of the composition.

15

7. The composition of claim 6, wherein the non-VOC component is present at about 5 wt.% to about 30 wt.% based on the total weight of the composition.

20

8. The composition of claim 1, wherein the non-VOC component is selected from the group consisting of: polyols, vegetable oils, esters, ethers, fatty alcohols, silicone oils, hydrocarbon oils, water, and any mixtures thereof.

9. The composition of claim 1, wherein the non-VOC component comprises water in an amount up to about 50 wt.% based on the total weight of the composition.

5 10. The composition of claim 9, wherein the water is present in an amount about 5 wt.% to about 40 wt.% based on the total weight of the composition.

10 11. The composition of claim 9, wherein the water is present in an amount about 10 wt.% to about 30 wt.% based on the total weight of the composition.

15 12. The composition of claim 1, wherein the VOC component comprises an alcohol having 1 to 8 carbon atoms in an amount about 1 wt.% to about 40 wt.% based on the total weight of the composition.

20 13. The composition of claim 1, further comprising an ingredient selected from the group consisting of one or more sunscreens, film formers, and mixtures thereof.

14. The composition of claim 13, wherein the sunscreen is about 1 wt.% to about 35 wt.%, based on the total weight of the composition.

15. The composition of claim 13, wherein the film former is about 0.1 wt.% to about 10 wt.% based on the total weight of the composition.

5

16. The composition of claim 1, wherein the VOC component comprises a propellant in an amount about 5 wt.% to about 20 wt.% based on the total weight of the composition.

10

17. The composition of claim 1, wherein the composition comprises about 5 wt.% to about 40 wt.% of water, about 1 wt.% to about 55 wt.% of a VOC component, about 0.5 wt.% to about 45 wt.% of an insect repellent, and about 5 wt.% to about 30 wt.% of a non-VOC component other than water, based on the total weight of the composition.

15

18. The composition of claim 17, wherein the VOC component comprises about 1 wt.% to about 25 wt.% of a propellant.

20

19. The composition of claim 18, wherein the VOC component further comprises about 5 wt.% to about 30 wt.% of an alcohol having 1 to 8 carbon atoms.

20. A method of repelling insects from skin comprising spraying on the skin the aerosol composition of claim 1.

21. The method of claim 20, wherein the VOC component is  
5 about 1 wt.% to about 45 wt.% based on the total weight of the composition.

22. The method of claim 20, wherein the insect repellent  
is present in an amount up to about 50 wt.% based on the total  
10 weight of the composition, and wherein the non-VOC component is  
present in an amount up to about 50 wt.% based on the total  
weight of the composition.

23. The method of claim 20, wherein the vehicle further  
15 comprises water in an amount about 5 wt.% to about 40 wt.% based  
on the total weight of the composition.

24. The method of claim 20, wherein the vehicle further  
comprises up to about 30 wt.% of a propellant.

**ABSTRACT OF THE DISCLOSURE**

Disclosed is an aerosol insect repellent composition. The  
5 composition has (a) an amount of an insect repellent effective  
to repel insects when applied to the skin and (b) a  
cosmetically-acceptable vehicle in which to disperse and deliver  
the insect repellent active. The vehicle has (i) a VOC  
10 component capable of volatilizing upon exposure to a reduction  
in pressure for delivering the composition in an aerosol form,  
and (ii) a non-VOC component. The aerosol composition has a VOC  
content of not greater than about 55 wt.% based upon the weight  
of the aerosol composition. Further disclosed is a method of  
repelling insects from skin wherein the aerosol composition is  
15 applied to or sprayed on the skin.